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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

CU-2734 RJS

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. Tetsuo ENDO et al First Inventor ELDING 188 953214 Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b)) **APPLICATION ELEMENTS** Assistant Commissioner for Patents ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages 3. X Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, i i. 🔲 paper or a computer program listing appendix - Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) - Detailed Description 9. Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure (when there is an assignee) Attomey 4. X 11. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets Information Disclosure Copies of IDS 5. Oath or Declaration 12. [Total Pages Statement (IDS)/PTO-1449 Citations X Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) a. 13. Preliminary Amendment Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 18 compléted) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 15. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.:_ Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 26530 X Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach ber code labor nare) Name Address City State Zip Code Country Telephone Fax Name (Print/Type) 25765 ation No. torney/Agent) Signature 11/27/01 Date

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for FY 2002 Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$)

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FEE TRANSMITTAL

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| U.S. Patent and Tra | PTO/SB/17 (11-01) Approved for use through 10/31/2002. OMB 0651-0032 ademark Office; U.S. DEPARTMENT OF COMMERCE rmation unless it displays a valid OMB control number. | | | |
| Complete if Known | | | | |
| Application Number | | | | |
| Filing Date | | | | |
| First Named Inventor | Tetsuo ENDO et al | | | |
| Examiner Name | | | | |
| Group Art Unit | | | | |
| Attorney Docket No. | CU-2734 RJS | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | |
|---|-----------------------------|---------------------|--|----------|
| X Check Credit card Money Order None | 3. ADDITIONAL FEES | | | |
| Deposit Account: | Large Entity Small Entity | | | |
| Deposit 12 0/00 | Fee Fee | | Fee Description | Fee Paid |
| Number 12-0400 | Code (\$) 105 130 | Code (\$) 205 65 | Surcharge - late filing fee or oath | |
| Deposit Account Ladas & Parry | 1 | 1 | • | |
| Name | 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments | 139 130 | 139 130 | Non-English specification | |
| Charge any additional fee(s) during the pendency of this application | 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| Charge fee(s) indicated below, except for the filling fee | 112 920* | 112 920* | Requesting publication of SIR prior to | |
| to the above identified deposit account. | 113 1 840* | 113 1,840* | Examiner action Requesting publication of SIR after | |
| FEE CALCULATION | 110 1,040 | 110 1,040 | Examiner action | |
| 1. BASIC FILING FEE | 115 1 10 | 215 55 | Extension for reply within first month | |
| Large Entity Small Entity | 116 400 | 216 200 | Extension for reply within second month | |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid | 117 920 | 217 460 | Extension for reply within third month | |
| 101 740 201 370 Utility filing fee 740 | 118 1,440 | 218 720 | Extension for reply within fourth month | <u> </u> |
| 106 330 206 165 Design filing fee | 128 1,960 | 228 980 | Extension for reply within fifth month | |
| 107 510 207 255 Plant filing fee | 119 320 | 219 160 | Notice of Appeal | |
| 108 740 208 370 Reissue filing fee | 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 114 160 214 80 Provisional filing fee | 121 280 | 221 140 | Request for oral hearing | |
| SUBTOTAL (1) (\$) 740. | 138 1,510 | 138 1,510 | Petition to Institute a public use proceeding | |
| | 140 110 | 240 55 | Petition to revive -unavoidable | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 141 1,280 | 241 640 | Petition to revive - unintentional | |
| Extra Claims below Fee Paid | 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| Total Claims | 143 460 | 243 230 | Design issue fee | i |
| Claims | 144 620 | 244 310 | Plant issue fee | |
| Multiple Dependent | 122 130 | 122 130 | Petitions to the Commissioner | |
| Large Entity Small Entity | 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| Fee Fee Fee Fee Description | 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 120. |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 | 246 370 | Filing a submission after final rejection | |
| 104 280 204 140 Multiple dependent claim, if not paid | | | (37 ČFR § 1.129(a)) | |
| 109 84 209 42 ** Reissue independent claims over original patent | 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| and over original patent | 169 900 | 169 900 | Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$) 0. | Other fee (sp | pecify) | | |
| **or number previously paid, if greater; For Reissuas, see above | *Reduced by | Basic Filing | Fee Paid SUBTOTAL (3) (\$) | 120. |

| SUBMITTED BY | | Complete (if applicable) |
|-------------------|-----------------------------|-------------------------------|
| Name (Print/Type) | Michard J. Streit Raise No. | 25765 Telephone (312)427-1300 |
| Signature | I kilan I This | Date 11/27/01 |

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